



IFW

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:) Title: **INFLATABLE
CATHETER FOR
SELECTIVE ORGAN
HEATING AND COOLING
AND METHOD OF USING
THE SAME**
John D. Dobak, III, et al.)
Serial No.: 10/714,070) Examiner: **Unknown**
Filed: November 14, 2003) Group Art: **Unknown**
) Unit:

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

The following items are being submitted for this Information Disclosure Statement:

1. Preliminary Statements
2. FORM PTO - 1449

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

August 2, 2004
(Date of Deposit)

Brenda Godfrey
(Name of the Person Making the Deposit)

Brenda Godfrey
(Signature)

August 2, 2004
(Date of Signature)

1. Preliminary Statements

Applicants cite herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56. Some citations have previously been submitted in the parent application serial number 10/095,753, thus copies of the previously cited references are not included in this submission. All others (those in bold) are attached to this submission.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

No fee is believed to be due in connection with this submission as this Information Disclosure Statement is being filed within (3) three months of the application filing date or before receipt of the first Office Action. However, if any fees are required in connection with this paper, authorization is hereby given to the Assistant Commissioner for Patents to charge the same to Deposit Account No. 50-0914.

Date:

July 30, 2004



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AUG 04 2004

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

18

Complete if Known

Application Number	10/714,070
Filing Date	November 14, 2003
First Named Inventor	John D. Dobak, III
Group Art Unit	3739
Examiner Name	Not Yet Known
Attorney Docket Number	010007

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
	Cite No. ¹	Number			
AA	RE 33,911		Samson et al	05/1992	
AB	2,308,484		Auzin, et al.	01/19/1943	
AC	2,374,609		McCollum	04/24/1945	
AD	2,615,686		Davidson	10/28/1982	
AE	2,672,032		Towse	03/16/1954	
AF	2,913,009		Kuthe	11/17/1959	
AG	3,125,096		Antiles et al.	07/07/1961	
AH	3,298,371		A.S.J. Lee	01/17/1967	
AI	3,425,419		A.A. Dato	02/04/1969	
AJ	3,460,538		Armstrong	08/12/1969	
AK	3,504,674		Swenson, et al.	04/07/1970	
AL	3,604,419		Diskin, et al.	09/14/1971	
AM	3,612,175		Ford et al.	07/01/1969	
AN	3,612,175		Ford, et al.	10/12/71	
AO	3,865,116		Brooks	02/11/1975	
AP	3,888,259		Miley	06/10/1975	
AQ	3,971,383		Van Gerven	07/27/1976	
AR	4,038,519		Foucras	07/26/1977	
AS	4,153,048		Magrini	05/18/1979	
AT	4,160,455		Law	07/10/1979	
AU	4,190,033		Foti	02/26/1980	
AV	4,216,767		Aoshiro	08/12/1980	
AW	4,231,425		Engstrom	11/04/1980	
AX	4,241,729		Aoshiro	12/30/1980	
AY	4,275,734		Mitchiner	06/30/1981	
AZ	4,298,006		Parks	11/03/1981	
BA	4,318,722		Altman	03/09/1982	
BB	4,323,071		Simpson, et al.	04/06/82	
BC	4,427,009		Wells, et al.	01/24/1984	
BD	4,445,500		Osterholm	05/01/1984	
BE	4,464,172		Lichtenstein	08/07/1984	
BF	4,483,341		Witteles	11/20/1984	
BG	4,484,586		McMickle et al.	11/27/1984	
BH	4,502,286		Okada, et al.	03/05/1985	
BI	4,569,355		Bitterly	02/11/1986	
BJ	4,581,017		Sahota	04/08/1986	
BK	4,602,642		O'Hara et al.	07/29/1986	
BL	4,602,642		O'Hara, et al.	07/29/86	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/714,070
(use as many sheets as necessary)				Filing Date	November 14, 2003
				First Named Inventor	John D. Dobak, III
				Group Art Unit	3739
				Examiner Name	Not Yet Known
Sheet	2	of	18	Attorney Docket Number	010007

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		Number	Kind Code ² (if known)			
	BM	4,655,746		Daniels, et al.	04/07/1987	
	BN	4,672,962		Hershenson	06/16/1987	
	BO	4,731,072		Aid	03/15/1988	
	BP	4,739,492		Cochran	04/19/1988	
	BQ	4,745,922		Taylor	05/24/1988	
	BR	4,747,826		Sassano	05/31/1988	
	BS	4,748,979		Hershenson	06/07/1988	
	BT	4,750,493		Brader	06/14/1988	
	BU	4,762,129		Bonzel	08/09/1988	
	BV	4,762,130		Fogarty, et al.	08/09/1988	
	BW	4,781,799		Herbert, Jr. et al.	11/01/1998	
	BX	4,796,640		Webler	01/10/1989	
	BY	4,817,624		Newbower	04/04/1989	
	BZ	4,819,655		Webler	04/11/1989	
	CA	4,820,349		Saab	01/11/1989	
	CB	4,860,744		Johnson, et al.	08/29/1989	
	CC	4,883,455		Leonard	11/28/1989	
	CD	4,894,164		Polaschegg	01/16/1990	
	CE	4,904,237		Janese	02/27/1990	
	CF	4,920,963		Brader	05/01/1990	
	CG	4,951,677		Crowlet et al.	08/28/1990	
	CH	4,964,409		Tremulis	10/23/1990	
	CI	4,973,493		Guire	11/27/1990	
	CJ	4,979,959		Guire	12/25/1990	
	CK	5,000,734		Boussignac et al.	03/19/1991	
	CL	5,002,531		Bonzel	03/25/1991	
	CM	5,014,695		Benak, et al.	05/14/1991	
	CN	5,018,521		Campbell	95/28/1991	
	CO	5,019,075		Spears, et al.	05/28/1991	
	CP	5,024,668		Peters et al.	06/18/1991	
	CQ	5,041,089		Mueller, et al.	08/20/1991	
	CR	5,046,497		Millar	09/10/1991	
	CS	5,059,057		Graef	10/22/1991	
	CT	5,078,713		Varney	01/07/1992	
	CU	5,089,260		Hunter et al.	02/18/1992	
	CV	5,092,841		Spears	03/03/1992	
	CW	5,106,360		Ishwara, et al.	04/21/1992	
	CX	5,106,368		Uldall et al.	04/21/1992	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/714,070
(use as many sheets as necessary)				Filing Date	November 14, 2003
				First Named Inventor	John D. Dobak, III
				Group Art Unit	3739
				Examiner Name	Not Yet Known
Sheet	3	of	18	Attorney Docket Number	010007

U.S. PATENT DOCUMENTS					
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	Cite No. ¹	Number			
CY	5,108,390		Potocky et al.	04/28/1992	
CZ	5,110,721		Anaise, et al.	05/05/1992	
DA	5,112,438		Bowers	05/12/1992	
DB	5,117,822		Laghi	06/02/1992	
DC	5,147,355		Friedman et al.	09/15/1992	
DD	5,149,321		Klatz, et al.	09/22/1992	
DE	5,150,706		Cox, et al.	09/29/1992	
DF	5,151,100		Abele, et al.	09/29/1992	
DG	5,156,151		Mir Imran	10/20/1992	
DH	5,180,364		Ginsburg	01/19/1993	
DI	5,190,539		Fletcher, et al.	03/02/1993	
DJ	5,191,883		Lennox, et al.	03/09/1993	
DK	5,196,024		Barath	03/23/1993	
DL	5,211,631		Sheaff	05/18/1993	
DM	5,211,631		Sheaff	05/18/93	
DN	5,234,405		Klatz, et al.	08/10/1993	
DO	5,236,908		Gruber et al.	08/17/1993	
DP	5,239,999		Mir A. Imran	08/31/1993	
DQ	5,246,421		Saab	10/21/1993	
DR	5,248,312		Langberg	09/28/1993	
DS	5,250,070		Parodi	10/05/1993	
DT	5,257,977		Eshel	11/02/1993	
DU	5,264,260		Saab	11/23/1993	
DV	5,267,341		Shearin	11/30/1993	
DW	5,269,369		Faghri	12/14/1993	
DX	5,269,749		Koturov	12/14/1993	
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DZ	5,279,299		Mir A. Imran	01/18/1994	
EA	5,281,213		Milder et al.	01/25/1994	
EB	5,281,215		Milder	01/25/1994	
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ED	5,310,440		Zingher	05/10/1994	
EE	5,322,514		Steube et al.	06/21/1994	
EF	5,322,515		Karas et al.	06/21/1994	
EG	5,322,518		Schneider et al.	06/21/1994	
EH	5,326,165		Walhall et al.	07/05/1994	
EI	5,326,166		Walhall et al.	07/05/1994	
EJ	5,330,435		Vaillancourt	07/19/1994	

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	Cite No. ¹	Number			
EK	5,330,519		Mason et al.	07/19/1994	
EL	5,334,193		Nardella	08/02/1994	
EM	5,342,301		Saab	08/30/1994	
EN	5,342,621		Eury	08/30/1994	
EO	5,344,436		Fontenot, et al.	09/06/1994	
EP	5,344,740		Iwasawa et al.	09/06/1994	
EQ	5,354,272		Swendson et al.	10/11/1994	
ER	5,358,486		Saab	10/25/1994	
ES	5,364,364		Kasvikis et al.	11/15/1994	
ET	5,365,750		Greenthal	11/22/1994	
EU	5,368,591		Lennox, et al.	11/29/1994	
EV	5,368,591		Lennox, et al.	11/29/1994	
EW	5,383,854		Safar et al.	01/24/1995	
EX	5,383,918		Panetta	01/24/1995	
EY	5,395,314		Klatz, et al.	03/07/1995	
EZ	5,395,331		O'Neill, et al.	03/07/1995	
FA	5,403,281		O'Neill et al.	04/04/1995	
FB	5,417,686		Peterson, et al.	05/23/1995	
FC	5,423,745		Todd, et al.	06/13/1995	
FD	5,423,807		Milder	06/13/1995	
FE	5,423,807		Milder	06/13/95	
FF	5,433,740		Yamaguchi	07/18/1995	
FG	5,437,673		Baust, et al.	08/01/1995	
FH	5,443,456		Alliger et al.	08/22/1995	
FI	5,462,521		Brucker, et al.	10/31/1995	
FJ	5,486,204		Clifton	01/23/1996	
FK	5,486,208		Ginsburg	01/23/1996	
FL	5,496,271		Burton et al.	03/05/1996	
FM	5,496,271		Burton, et al.	03/05/96	
FN	5,499,973		Saab	03/19/1996	
FO	5,520,682		Baust et al.	05/28/1996	
FP	5,531,776		Ward, et al.	07/02/1996	
FQ	5,536,247		Thornton	07/16/1996	
FR	5,545,133		Burns, et al.	08/13/96	
FS	5,545,708		Onwunaka et al.	08/13/1996	
FT	5,549,559		Eshel	08/27/96	
FU	5,554,119		Harrison et al.	09/10/1996	
FV	5,554,119		Harrison, et al.	09/10/96	

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FW	5,558,644			Boyd, et al.	09/24/1996	
FX	5,569,195			Saab	10/29/1996	
FY	5,573,532			Chang, et al.	11/12/1996	
FZ	5,578,008			Hara	11/26/1996	
GA	5,584,804			Klatz, et al.	12/17/1996	
GB	5,588,438			McKown, et al.	12/31/1996	
GC	5,591,162			Fletcher, et al.	01/07/1997	
GD	5,617,854			Munsif	04/08/1997	
GE	5,620,480			Rudie	04/15/1997	
GF	5,622,182			Jaffe	04/22/1997	
GG	5,622,182			Jaffe	04/22/97	
GH	5,624,342			Younger	08/29/1997	
GI	5,624,392			Saab	04/29/1997	
GJ	5,630,837			Crowley	05/20/1997	
GK	5,642,197			Brucker et al.	07/01/1997	
GL	5,643,197			Brucker et al.	07/01/1997	
GM	5,647,051			Neer	07/08/1997	
GN	5,653,692			Masterson et al.	08/05/1997	
GO	5,676,693			Lafontaine	10/14/1997	
GP	5,709,654			Klatz et al.	01/20/1998	
GQ	5,713,941			Robins, et al.	02/03/1998	
GR	5,716,386			Ward, et al.	02/10/1998	
GS	5,733,318			Augustine	03/31/1998	
GT	5,733,319			Neilson et al.	03/31/1998	
GU	5,735,809			Gorsuch	04/07/1998	
GV	5,735,809			Gorsuch	04/07/1998	
GW	5,797,878			Bleam	08/25/1998	
GX	5,799,661			Boyd et al.	09/01/1998	
GY	5,800,480			Augustine, et al.	09/01/1998	
GZ	5,800,483			Vought	09/01/1998	
HA	5,800,486			Thome	09/01/1998	
HB	5,800,516			Fine, et al.	09/01/98	
HC	5,807,391			Wijkamp	09/15/1998	
HD	5,820,593			Safar et al.	10/13/1998	
HE	5,824,030			Yang, et al.	10/20/1998	
HF	5,827,222			Klatz, et al.	10/27/1998	
HG	5,827,237			Macoviak, et al.	10/27/1998	
HH	5,827,269			Saadat	10/27/1998	

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		Number	Kind Code ² (if known)			
HI	5,833,671			Macoviak, et al.	11/10/1998	
HJ	5,833,673			Ockuly et al.	11/10/1998	
HK	5,834,465			Olney	11/10/1998	
HL	5,837,003			Ginsburg	11/17/1998	
HM	5,861,021			Thome et al.	01/19/1999	
HN	5,868,735			Lafontaine	02/09/1999	
HO	5,871,468			Kramer, et al.	02/16/99	
HP	5,871,526			Gibbs, et al.	02/16/1999	
HQ	5,873,835			Hastings, et al.	02/23/1999	
HR	5,873,835			Hastings et al.	08/28/2001	
HS	5,879,316			Safar et al.	03/09/1999	
HT	5,879,329			Ginsburg	03/09/1999	
HU	5,891,094			Masterson et al.	04/06/1999	
HV	5,899,898			Arless et al.	05/04/1999	
HW	5,899,898			Arless, et al.	05/04/99	
HX	5,899,899			Arless, et al.	05/04/1999	
HY	5,902,268			Saab	05/11/1999	
HZ	5,906,588			Safar et al.	05/25/1999	
IA	5,906,594			Scarfone et al.	05/25/1999	
IB	5,906,636			Casscells, III et al.	05/25/1999	
IC	5,910,104			Dobak III et al.	06/08/1999	
ID	5,913,856			Chia et al.	06/22/1999	
IE	5,913,885			Klatz, et al.	06/22/1999	
IF	5,913,886			Soloman	06/22/1999	
IG	5,916,242			Schwartz	06/29/1999	
IH	5,957,917			Doiron et al.	09/28/1999	
II	5,957,963			Dobak, III	09/28/1999	
IJ	5,964,751			Amplatz et al.	10/12/1999	
IK	5,968,009			Siman	10/19/1999	
IL	5,971,979			Joye et al	10/26/1999	
IM	5,971,979			Joye, et al.	10/26/99	
IN	5,989,238			Ginsburg	11/23/1999	
IO	6,007,692			Herbert et al.	12/28/1999	
IP	6,011,995			Guglielmi et al.	01/04/2000	
IQ	6,019,783			Philips et al.	02/01/2000	
IR	6,022,336			Zadno-Azizi et al.	02/08/2000	
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IT	6,033,383			Ginsburg	03/07/2000	

Examiner Signature	Date Considered
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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of

18

Complete if Known

Application Number	10/714,070
Filing Date	November 14, 2003
First Named Inventor	John D. Dobak, III
Group Art Unit	3739
Examiner Name	Not Yet Known
Attorney Docket Number	010007

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
	Cite No. ¹	Number			
IU	6,042,559		Dobak, III	03/28/2000	
IV	6,051,019		Dobak, III	04/18/2000	
IW	6,063,101		Jacobsen et al.	05/16/2000	
IX	6,096,068		Dobak, III et al.	08/01/2000	
IY	6,110,168		Ginsburg	08/29/2000	
IZ	6,126,684		Gobin et al.	10/03/2000	
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JB	6,146,411		Noda, et al.	11/14/01	
JC	6,146,814		Millet	11/14/2000	
JD	6,149,670		Worthen, et al.	11/21/00	
JE	6,149,673		Ginsburg	11/21/2000	
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JG	6,149,677		Dobak, III	11/21/2000	
JH	6,165,207		Balding, et al.	12/26/00	
JI	6,190,354		LaFontaine	02/20/2001	
JJ	6,194,899		Ishihara et al.	02/27/2001	
JK	6,224,624		Lasheras et al	05/01/2001	
JL	6,224,624		Lasheras, et al.	05/01/01	
JM	6,231,594		Dae	05/15/2001	
JN	6,231,594		Dae	05/15/01	
JO	6,231,595		Dobak, III	05/15/2001	
JP	6,235,048		Dobak, III	05/22/2001	
JQ	6,238,428		Werneth et al.	05/29/2001	
JR	6,238,428		Werneth, et al.	05/29/01	
JS	6,245,095		Dobak III et al.	06/12/2001	
JT	6,245,095		Dobak, III, et al.	06/12/01	
JU	6,251,093		Valley et al.	06/26/2001	
JV	6,251,129		Dobak, III et al.	06/26/2001	
JW	6,251,130		Dobak, III et al.	06/26/2001	
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JY	6,254,626		Dobak, III, et al.	07/03/01	
JZ	6,261,312		Dobak, III, et al.	07/17/01	
KA	6,264,679		Keller, et al.	07/24/01	
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KC	6,277,143		Klatz et al.	08/21/2001	
KD	6,287,326		Pecor	09/11/2001	
KE	6,287,326		Pecor	09/11/01	
KF	6,290,697		Tu, et al.	09/18/01	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/714,070
(use as many sheets as necessary)				Filing Date	November 14, 2003
Sheet	8	of	18	First Named Inventor	John D. Dobak, III
				Group Art Unit	3739
				Examiner Name	Not Yet Known
				Attorney Docket Number	010007

U.S. PATENT DOCUMENTS						
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		Number	Kind Code ² (if known)			
KG	6,290,717			Philips	09/18/2001	
KH	6,290,717			Philips	09/18/01	
KI	6,295,990			Lewis, et al.	10/02/01	
KJ	6,299,599			Pham, et al.	10/09/01	
KK	6,306,161			Ginsburg	10/23/2001	
KL	6,306,161			Ginsburg	10/23/01	
KM	6,312,452			Dobak, III, et al.	11/06/01	
KN	6,315,754			Daoud et al.	11/12/2001	
KO	6,319,248			Nahon	11/20/2001	
KP	6,325,818			Werneth	12/04/01	
KQ	6,336,911			Westerbeck	01/08/2002	
KR	6,338,727			Noda, et al.	01/15/02	
KS	6,354,099			Bieberich	03/12/2002	
KT	6,364,899			Dobak III	04/02/2002	
KU	6,368,304			Aliberto et al.	04/09/2002	
KV	6,379,378			Werneth et al.	04/30/2002	
KW	6,383,210			Magers et al.	05/07/2002	
KX	6,393,320			Lasersohn et al.	05/21/2002	
KY	6,405,080			Lasersohn et al.	06/11/2002	
KZ	6,409,747			Gobin et al.	06/25/2002	
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LC	6,464,716			Dobak, III, et al.	10/15/2002	
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LE	6,497,721			Ginsburg, et al.	12/24/2002	
LF	6,520,933			Evans, et al.	02/18/2002	
LG	6,540,771			Dobak, III	04/01/2003	
LH	6,641,603			Walker et al.	11/04/2003	
LI	6,648,908			Dobak, III	11/18/2003	
LJ	20010001830			Dobak, III et al.	05/24/2001	
LK	20010001830			Dobak, III, et al.	05/24/01	
LL	20010001831			Dobak, III et al.	05/24/2001	
LM	20010001831			Dobak, III, et al.	05/24/01	
LN	20010001832			Dobak, III et al.	05/24/2001	
LO	20010002442			Dobak, III	05/31/01	
LP	20010005791			Ginsburg, et al.	06/28/01	
LQ	20010007951			Dobak, III	07/12/2001	
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				Application Number	10/714,070
				Filing Date	November 14, 2003
				First Named Inventor	John D. Dobak, III
				Group Art Unit	3739
				Examiner Name	Not Yet Known
Sheet	9	of	18	Attorney Docket Number	010007

U.S. PATENT DOCUMENTS						
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		Number	Kind Code ² (if known)			
	LS	20010010011		Aliberto, et al.	07/26/01	
	LT	20010011184		Dobak, III et al.	08/02/2001	
	LU	20010011185		Dobak, III et al.	08/02/2001	
	LV	20010014802		Tu	08/16/2001	
	LW	20010016763		Lasheras et al.	08/23/2001	
	LX	20010016764		Dobak, III	08/23/2001	
	LY	20010021865		Dobak, III et al.	09/13/2001	
	LZ	20010021865		Dobak, III, et al.	09/13/01	
	MA	20010021866		Dobak, III et al.	09/13/2001	
	MB	20010029394		Dobak, III et al.	10/11/2001	
	MC	20010031946		Walker et al.	10/18/2001	
	MD	20010032003		Pecor	10/18/2001	
	ME	20010032004		Werneth	10/18/2001	
	MF	20010039440		Lasheras et al.	11/08/2001	
	MG	20010041923		Dobak III	11/15/2001	
	MH	20010044644		Keller, et al.	11/22/01	
	MI	20010047191		Lasersohn, et al.	11/29/01	
	MJ	20010047192		Lasersohn, et al.	11/29/01	
	MK	20010047196		Ginsburg	11/29/01	
	ML	20010049545		Lasersohn et al.	12/06/2001	
	MM	20010049545		Lasersohn, et al.	12/06/01	
	MN	20020002394		Dobak, III	01/03/2002	
	MO	20020004675		Lasheras	01/10/2002	
	MP	20020007179		Dobak, III et al.	01/17/2002	
	MQ	20020007202		Dobak, III, et al.	01/17/02	
	MR	20020007203		Gilmartin et al.	01/17/2002	
	MS	20020016621		Werneth et al.	02/07/2002	
	MT	20020022823		Luo et al.	02/21/2002	
	MU	20020026227		Phillips	02/28/2002	
	MV	20020029016		Pham et al.	03/07/2002	
	MW	20020032430		Luo et al.	03/14/2002	
	MX	20020032474		Dobak, III et al.	03/14/2002	
	MY	20020040717		Dobak III	04/11/2002	
	MZ	20020045892		Kramer	04/18/2002	
	NA	20020045925		Keller et al.	04/18/2002	
	NB	20020049409		Noda et al.	04/25/2002	
	NC	20020049410		Noda et al.	04/25/2002	
	ND	20020049484		Werneth et al.	04/25/2002	

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Sheet	10	of	18	Application Number	10/714,070
				Filing Date	November 14, 2003
				First Named Inventor	John D. Dobak, III
				Group Art Unit	3739
				Examiner Name	Not Yet Known
				Attorney Docket Number	010007

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		Number	Kind Code ² (if known)			
	NE	20020056281		Bieberich	05/16/2002	
	NF	20020066458		Aliberto et al.	06/06/2002	
	NG	20020068964		Dobak III	06/06/2002	
	NH	20020077665		Kordis et al.	06/20/2002	
	NI	20020077680		Noda	06/20/2002	
	NJ	20020082671		Magers et al.	06/27/2002	
	NK	20020091378		Dobak III et al.	07/11/2002	
	NL	20020091429		Dobak III et al.	07/11/2002	
	NM	20020091430		Dobak III et al.	07/11/2002	
	NN	20020095200		Dobak III et al.	07/18/2002	
	NO	20020095201		Worthen et al.	07/18/2002	
	NP	20020138121		Fox	09/26/2002	
	NQ	20020151945		Gobin, et al.	10/17/2002	
	NR	20020151946		Dobak, III	10/17/2002	
	NS	20020156421		Noda, et al.	10/24/2002	
	NT	20020161331		Noda, et al.	10/31/2002	
	NU	20020169490		Noda, et al.	11/14/2002	
	NV	20030028212		Saab	02/06/2003	
	NW	20030040782		Walker et al.	02/27/2003	
	NX	20040050154		Machold et al.	03/18/2004	

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Examiner Initials ¹	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
		Office ³	Number ⁴	Kind Code ⁵ (if known)		
	NY		EP 0664990	EPO	11/19/1997	
	NZ		FR 2 447 406	France	03/06/1980	
	MA		EP0655225A1	Europe	05/31/1993	
	MB		SU 806 029	Russia	02/23/1981	
	MC		WO 91/05528	PCT	05/02/1991	
	MD		WO 93/04727	PCT	03/18/1993	
	ME		WO 95/01814	PCT	01/19/1995	
	MF		WO 96/40347	PCT	12/19/1996	
	MG		WO 97/01374	PCT	01/16/1997	
	MH		WO 97/25011	PCT	07/17/1997	
	MI		WO 98/26831	PCT	06/25/1998	
	MJ		WO 98/31312	PCT	07/23/1998	

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Sheet	11	of	18	Application Number	10/714,070
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		Office ³	Number ⁴				
MK		WO	98/49957	Regents UCSD	11/12/1998		
ML		WO	99/02096	Regents UCSD	01/21/1999		
MM		WO	99/37226	Del Mar Technologies	07/29/1999		
MN		WO	99/44519	Atronics, Inc.	09/10/1999		
MO		WO	99/48449	Del Mar Technologies	09/30/1999		
MP		WO	99/56812	Atronics, Inc.	11/11/1999		
MQ		WO	99/66970	Del Mar Technologies	12/29/1999		
MR		WO	99/66971	Del Mar Technologies	12/29/1999		
MS		WO	00/09054	Alsius, Inc	02/24/2000		
MT		WO	00/10494	Radiant Medical, Inc.	03/02/2000		
MU		WO	00/38601	Alsius Corporation	12/23/1999		
MV		WO	00/47145	Alsius, Inc.	02/02/2000		
MW		WO	00/48670	Alsius Corporation	08/24/2000		
MX		WO	91/05528	Granulab B.V.	05/02/91		
MY		WO	00/51534	Alsius Corporation	09/08/00		
MZ		WO	00/53135	Alsius Corporation	09/14/00		
NA		WO	00/57823	Alsius Corporation	10/05/00		
NB		WO	00/62837	Alsius Corporation	10/26/00		
NC		WO	00/66053	Alsius Corporation	11/09/00		
ND		WO	00/72779	Alsius Corporation	12/07/00		
NE		WO	00/72787	Alsius Corporation	12/07/00		
NF		WO	01/03606	Alsius Corporation	01/18/01		
NG		WO	01/08580	Alsius Corporation	02/02/01		
NH		WO	01/12061	Alsius Corporation	02/22/01		
NI		WO	01/12122	Alsius Corporation	02/22/01		
NJ		WO	01/17471	Alsius Corporation	03/15/01		
NK		WO	01/19447	Alsius Corporation	03/22/01		
NL		WO	01/30413	Alsius Corporation	05/03/01		
NM		WO	01/41708	Alsius Corporation	06/14/01		
NN		WO	01/49236	Alsius Corporation	07/12/01		
NO		WO	01/10365	Innercool Therapies	02/15/01		
NP		WO	01/13837	Innercool Therapies	03/01/01		
NQ		WO	01/26590	Innercool Therapies	04/19/01		
NR		WO	01/43661	Radiant Medical	06/21/01		
NS		WO	01/13809	Radiant Medical	03/01/01		
NT		WO	01/10323	Radiant Medical	02/15/01		
NU		AU	730835 B2	Radiant Medical	03/15/2001		
NV		AU	734506 B2	Innercool Therapies	06/14/2001		

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				Filing Date	November 14, 2003
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				Group Art Unit	3739
				Examiner Name	Not Yet Known
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		Office ³	Number ⁴				
NW		AU	739996 B2	Innercool Therapies	02/07/2002		
NX		AU	743945 B2	Radiant Medical	02/07/2002		
NY		EP	0428505 B2	Gambro Lundia AB	03/14/2001		
NZ		EP	1172932 A2	First Circle Medical	07/19/2001		
OA		EP	1205167 A2	Alsius Corp	05/15/2002		
OB		WO	97/32518	Scimed Life Systems	09/12/1997		
OC		WO	98/49957	Regents UCSD	11/12/1998		
OD		WO	01/52781	Alsius Corp	07/26/2001		
OE		WO	01/56517	Alsius Corp	08/09/2001		
OF		WO	01/58397	Radiant Medical	08/15/2001		
OG		WO	01/64145	Innercool Therapies	09/07/2001		
OH		WO	01/64146	Radiant Medical	09/07/2001		
OI		WO	01/66052	Innercool Therapies	09/07/2001		
OJ		WO	01/74276	Innercool Therapies	10/11/2001		
OK		WO	01/76655	Innercool Therapies	10/18/2001		
OL		WO	01/78580	Innercool Therapies	10/25/2001		
OM		WO	01/87379	Innercool Therapies	11/22/2001		
ON		WO	01/95840	Innercool Therapies	12/20/2001		
OO		WO	02/07793	Radiant Medical	01/31/2002		
OP		WO	02/26175	Alsius Corp.	04/04/2002		
OQ		WO	02/26176	Alsius Corp.	04/04/2002		
OR		WO	02/26285	Alsius Corp.	04/04/2002		
OS		WO	02/26307	Alsius Corp.	04/04/2002		
OT		WO	02/28300	Alsius Corp.	04/11/2002		
OU		WO	02/36180	Alsius Corp.	05/10/2002		
OV		WO	02/38091	Innercool Therapies	05/16/2002		
OW		WO	02/43577	Alsius Corp.	06/06/2002		
OX		WO	02/47742	Alsius Corp.	06/20/2002		
OY		WO	02/47577	Alsius Corp.	06/20/2002		
OZ		WO	02/055129	Innercool Therapies	07/18/2002		

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Examiner Initials'	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/714,070
(use as many sheets as necessary)				Filing Date	November 14, 2003
Sheet	13	of	18	First Named Inventor	John D. Dobak, III
				Group Art Unit	3739
				Examiner Name	Not Yet Known
				Attorney Docket Number	010007

OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS		
Examiner Initials'	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	PA	Ambrus; <i>The Biphasic Nature and Temperature Dependence of the Activation of Human Plasminogen by Urokinase</i> ; 05/1979; pp. 339-347; Research Communications in Chemical Pathology and Pharmacology, Vol. 24, No. 2.
	PB	Bigelo; <i>Hypothermia, Its Possible Role in Cardiac Surgery</i> ; 11/1959; pp. 849-866; Annals of Surgery, Vol. 132, No. 5.
	PC	Cheatle; <i>Cryostripping the Long and Short Saphenous Veins</i> ; 01/1993; one page; Br. J. Surg., Vol. 80.
	PD	Dexter; <i>Blood Warms as It Blows Retrograde from a Femoral Cannulation Site to the Carotid Artery During Cardiopulmonary Bypass</i> ; 11/1994; pp. 393-397; Perfusion, Vol. 9, No. 6.
	PE	Gillinov; <i>Superior Cerebral Protection with Profound Hypothermia During Circulatory Arrest</i> ; 11/1992; pp.1432-1439; Ann. Thorac. Surg., Vol. 55.
	PF	Higazi; <i>The Effect of Ultrasonic Irradiation and Temperature on Fibrinolytic Activity in Vitro</i> ; 08/1992; p. 251-253; Thrombosis Research, Vol. 69, No. 2.
	PG	Imamaki; <i>Retrograde Cerebral Perfusion with Hypothermic Blood Provides Efficient Protection of the Brain</i> ; 07/1995; pp. 325-333; Journal of Cardiac Surgery, Vol. 10, No. 4, Part 1.
	PH	Jolin; <i>Management of a Giant Intracranial Aneurysm Using Surface-Heparinized Extracorporeal Circulation and Controlled Deep Hypothermic Low Flow Perfusion</i> ; 08/1992; pp. 756-760; Acta Anaesthesiologica Scandinavica.
	PI	Jos R.C. Jansen, Ph.D., et al. (1997) <i>Near Continuous Cardiac Output by Thermodilution</i> . Journal of Clinical Monitoring 13:233-239.
	PJ	Kimoto; <i>Open Heart Surgery under Direct Vision with the Aid of Brain-Cooling by Irrigation</i> ; 07/1955; pp.592-603; Surgery, vol. 39, No. 4.
	PK	Marekovic, Z.; <i>Abstract of Renal Hypothermia in Situ by Venous Passages: Experimental Work on Dogs</i> ; 1980; Eur Urol 6(2); 1 page.
	PL	Meden; <i>Effect of Hypothermia and Delayed Thrombolysis in a Rat Embolic Stroke Model</i> ; 12/1993; pp. 91-98; Acta Neurologica Scandinavica.

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PM	Meden; <i>The Influence of Body Temperature on Infarct Volume and Thrombolytic Therapy in a Rat Embolic Stroke Model</i> ; 02/1994; pp. 131-138; Brain Research, Vol. 647.	T ²
PN	Milleret, Rene; <i>La cryo-chirurgie danes les varices des mimbres inferieurs</i> ; Angiologie; Supplement au no. 110.	
PO	Milleret; Abstract of <i>Cryosclerosis of the Saphenous Veins in Varicose Reflux in the Obese and Elderly</i> ; 10.1981; one page; Phlebologie, Vol. 34, No. 4.	
PP	Parkins; <i>Brain Cooling in the Prevention of Brain Damage During Periods of Circulatory Occlusion in Dogs</i> ; 04/1954; pp.284-289; Annals of Surgery, Vol. 140, No. 3.	
PQ	Piepgras; <i>Rapid Active Internal Core Cooling for Induction of Moderate Hypothermia in Head-Injury by Use of an Extracorporeal Heat Exchanger</i> ; 02/1998; pp. 311-318; Neurosurgery, Vol. 42, No. 2.	
PR	Rijken; <i>Plasminogen Activation at Low Temperatures in Plasma Samples Containing Therapeutic Concentrations of Tissue-Type Plasminogen Activator or Other Thrombolytic Agents</i> ; 10/1989; pp.47-52; place of publication unknown.	
PS	Schwartz, A.E. et al.; <i>Isolated cerebral hypothermia by single carotid artery perfusion of extracorporeally cooled blood in baboons</i> ; Neurosurgery 39(3):577-582.	
PT	Schwartz; <i>Cerebral Blood Flow during Low-flow Hypothermic Cardiopulmonary Bypass in Baboons</i> ; 06/1994; pp. 959-964; Anesthesiology, Vol. 81, No.4.	
PU	Schwartz; <i>Selective Cerebral Hypothermia by Means of Transfemoral Internal Carotid Artery Catheterization</i> ; 05/1996; pp.571-572; Radiology, Vol. 201, No. 2.	
PV	Steen; <i>The Detrimental Effects of Prolonged Hypothermia and Rewarming in the Dog</i> ; 08/1979; pp. 224-230; Anesthesiology, Vol. 52, No. 3.	
PW	Vandam; <i>Hypothermia</i> ; 09/1959; pp.546-553; The New England Journal of Medicine.	
PX	White; <i>Cerebral Hypothermia and Circulatory Arrest</i> ; 07/1978; pp.450-458; Mayo Clinic Proceedings, Vol. 53.	

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	PY	Yenari; <i>Thrombolysis with Tissue Plasminogen Activator (TPA) is Temperature Dependent</i> ; 07/1994; pp.475-481; Thrombosis Research, vol. 77, No.5.
	PZ	Yoshihara; <i>Changes in Coagulation and Fibrinolysis Occurring in Dogs during Hypothermia</i> ; 08/1984; pp.503-512; Thrombosis Research, Vol. 37, No. 4.
	QA	Zarins; <i>Circulation in Profound Hypothermia</i> ; 11/1972; pp. 97-104; Journal of Surgical Research, Vol. 14, N. 2.
	QB	Colvett, K. T. et al. "Opportunities with Combined Modality Therapy for Selective Organ Preservation in Muscle-Invasive Bladder Cancer," May 1996, <i>Journ. Surg. Oncology</i> 63:202-201.
	QC	Mass, C. et al. "Intermittent Antegrade/Selective Cerebral Perfusion during Circulatory Arrest for Repair of the Aortic Arch," 1997, <i>Perfusion</i> , 12:127-132.
	QD	Alfonsi, P., D. I. Sessler, B. Du Manoir, J-C. Levron, J-P. Le Moing, M. Chauvin, <i>The Effects of Meperidine and Sufentanil on the Shivering Threshold in Postoperative Patients</i> , Anesthesiology, July 1998, 89(1):43-48.
	QE	Anon, "Automatic feedback instrumentation for hospital room utilizing microsensors," <i>IBM Technical Disclosure Bulletin (ABS.)</i> , 29(3): 1 page, August 1986
	QF	Benzinger, T.H.; <i>On Physical Heart Regulation and Sense of Temperature in Man</i> ; Naval Medical Research Institute; Physiology; Volume 45; pp. 645-659; (February 26, 1959)
	QG	Brengelmann, George L.; <i>Specialized Brain Cooling in Humans?</i> ; The FASEB Journal; Volume 7; pp. 1148-1153 (September 1993)
	QH	Buggy, D., P. Higgins, C. Moran, F. O'Donovan, and M. McCarroll, <i>Clonidine at Induction Reduces Shivering after General Anaesthesia</i> , 1997, pp. 263-267, Can. J. Anaesth., Vol. 44, N. 3.
	QI	Cabanac, M., <i>Selective Brain Cooling and Thermoregulatory Set-Point</i> , 1998, pp. 3-13, Journ. of Basic & Clinical Physiology & Pharmacology, Vol. 9, N. 1.
	QJ	Cabanac, M.; <i>Selective Brain Cooling in Humans: fancy or fact?</i> ; The FASEB Journal; Volume 7; pp. 1143-1147 (September 1993)

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	QJ	Capogna, G. and D. Celleno, <i>I. V. Clonidine for Post-Extradural Shivering in Parturients: A Preliminary Study</i> , 1993, Brit. Journ. of Anaesth., Vol. 71.			
	QK	Carrol et al. "A comparison of measurements from a temporal artery thermometer and a pulmonary artery thermistor—preliminary results." Fax Correspondence dated October 19, 2001.			
	QL	Cheng, C. , T. Matsukawa, D. I. Sessler, M. Ozaki, A. Kurz, B. Merrifield, L. Hank, and P. Olofsson, <i>Increasing Mean Skin Temperature Linearly Reduces the Core-Temperature Thresholds for Vasoconstriction and Shivering in Humans</i> , 05/1995, pp. 1160–1168, Anesthesiology, Vol. 82, N. 5.			
	QM	De Ford et al. "Design and evaluation of closed-loop feedback control of minimum temperatures in human intracranial tumours treated with interstitial hyperthermia," <i>Med. & Biol. Eng. & Comput.</i> 29:197–206, Mark 1991.			
	QN	Deklunder, G., M. Dauzat, J-L. Lecroart, J-J. Hauser, and Y. Houdas, "Influence of Ventilation of the Face on Thermoregulation in Man during Hyper- and Hypothermia," <i>Eur. J. Appl. Physiol.</i> , 1991, 62:342–348.			
	QO	Gentilello, L. M., "Advances in the Management of Hypothermia," <i>Horizons in Trauma Surgery</i> , 75(2):243–256, April 1995			
	QP	Giesbrecht, G. G., M. S.. L. Goheen, C. E. Johnston, G. P. Kenny, G. K. Bristow, and J. S. Hayward, <i>Inhibition of Shivering Increases Core Temperature Afterdrop and Attenuates Rewarming in Hypothermic Humans</i> , 1997, 0161-7567:1630–1634, The American Physiological Society,			
	QQ	Giuffre, M., J. Finnie, D. A. Lynam, and D. Smith, <i>Rewarming Postoperative Patients: Lights, Blankets, or Forced Warm Air</i> , 12/1991, pp. 387–393, Journ. of Post Anaesthesia Nursing, Vol. 6, N. 6.			
	QR	Guffin, A., D. Girard, and J. A. Kaplan, <i>Shivering Following Cardiac Surgery: Hemodynamic Changes and Reversal</i> , 02/1987, pp. 24–28, Journ. of Cardiothoracic Anesthesia, Vol. 1, N. 1.			
	QS	Haley, E. C. et al. "A Randomized Trial of Tirilazad Mesylate in Patients with Acute Stroke (RANTTAS)," <i>Stroke</i> , 27(9):1453–1458, 1996.			
	QT	Iaizzo, <i>Facial Warming Increases the Threshold for Shivering</i> , 1999; pp. 231–239, Journ. of Neurosurgical Anesthesiology, Vol. 11, No. 4.			
	QU	Keegan, M. T. et al. <i>Shivering Complicating the Treatment of Neurologically Impaired Surgical and Intensive Care Unit Patients</i> , Anesthesiology, 91(3):874–876, September 1999.,			

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Sheet

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	QV	Kogaku "Sensor technology to control artificial organs," KLA, 22(4):295-300, August 1984 (in Japanese)
	QW	Kurz, Martin, et al.; "Naloxone, Meperidine, and Shivering."; <i>Anesthesiology</i> ; 79 (6):1193-1201; December 1993.
	QX	Lennon, R. L., M. P. Hosking, M. A. Conover, and W. J. Perkins, <i>Evaluation of a Forced-Air System for Warming Hypothermic Postoperative Patients</i> , 1990, pp. 424-427, <i>Anesth. Analg.</i> , Vol. 70.
	QY	Leslie, K., D. I. Sessler, A. R. Bjorksten, M. Ozaki, T. Matsukawa, and M. Schroeder, <i>Propofol Causes a Dose-Dependent Decrease in the Thermoregulatory Threshold for vasoconstriction but has Little Effect on Sweating</i> , 08/1994, pp. 353-360, Vol. 81, N. 2.
	QZ	Matsukawa, T., A. Kurz, D. I. Sessler, A. R. Bjorksten, B. Merrifield, and C. Cheng, <i>Propofol Linearly Reduces the Vasoconstriction and Shivering Thresholds</i> , 05/1995, pp. 1169-1180, <i>Anesthesiology</i> , Vol. 82, N. 5.
	RA	Meden, P., K. Overgaard, H. Pedersen, G. Boysen, <i>Effect of Hypothermia and Delayed Thrombolysis in a Rat Embolic Stroke Model</i> , 1994, pp. 91-98, <i>Acta Neurol. Scand.</i> Vol. 90.
	RB	Möller et al. "Temperature control and light penetration in a feedback interstitial laser thermotherapy system," <i>Int. J. Hyperthermia</i> , 12(1):49-63, 1996
	RC	Olshausen et al. "An isothermal flowmeter with improved frequency response for measuring tissue blood flow," <i>Pflügers Arch</i> , 367:97-102, 1976.
	RD	Pais, S. O., K. D. Tobin, C. B. Austin, and L. Queral, <i>Percutaneous Insertion of the Greenfield Inferior Vena Cava Filter: Experience with Ninety-Six Patients</i> , 10/1988, pp. 460-464, <i>Journ. of Vascular Surg.</i> , Vol. 8, N. 4.
	RE	Patton, J. H., T. C. Fabian, M. A. Croce, G. Minard, F. E. Pritchard, and K. A. Kudsk, <i>Prophylactic Greenfield Filters: Acute Complications and Long-Term Follow-Up</i> , 08/1996; pp. 231-237; <i>Journ. of Trauma: Injury, Infection, and Critical Care</i> , Vol. 41, N.2.
	RF	Rohrer, M. J. and A. M. Natale, <i>Effect of Hypothermia on the Coagulation Cascade</i> , 10/1992, pp. 1402-1405, <i>Critical Care Medicine</i> , Vol. 20, N. 10.
	RG	Schmid-Elsaesser, R. et al. (1999), <i>Combination Drug Therapy and Mild Hypothermia: A Promising Treatment Strategy for Reversible, Focal Cerebral Ischemia</i> , <i>Stroke</i> , 1891-1899, June.

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	RH	Sessler, Daniel I.; "Mild Perioperative Hypothermia"; The New England Journal of Medicine; 336:1730–1737; June 12, 1997.		
	RI	Sharkey, A., J. M. Lipton, M. T. Murphy, and A. H. Giesecke, <i>Inhibition of Postanesthetic Shivering with Radiant Heat</i> , 02/1987, pp. 249–252, <i>Anesthesiology</i> , Vol. 66, N. 2.		
	RJ	Shiraki, K., N. Konda, and S. Sagawa, "Esophageal and Tympanic Temperature Responses to Core Blood Temperature Changes during Hyperthermia, <i>J. Appl. Physiol.</i> 61(1):98–102 (1986).		
	RK	Simon, M., C. A. Athanasoulis, D. Kim, F. L. Steinberg, D. H. Porter, B. H. Byse, S. Kleshinski, S. Geller, D. E. Orron, and A. C. Waltman; <i>Simon Nitinol Inferior Vena Cava Filter: Initial Clinical Experience</i> , 07/1989, pp. 99–103; <i>Radiology</i> .		
	RL	Villamaria, F. J., C. E. Baisden, A. Hillis, M. H. Rajab, and P. A. Rinaldi, "Forced-Air Warming is No More Effective than Conventional Methods for Raising Postoperative Core Temperature After Cardiac Surgery," <i>Journ. Cardiothoracic and Vascular Anesth.</i> , 11(6):708–711, October 1997.		
	RM	Zweifler, R. M. and D. I. Sessler, "Thermoregulatory Vasoconstriction and Shivering Impede Therapeutic Hypothermia in Acute Ischemic Stroke Patients," <i>Journ. Stroke and Cerebrovascular Diseases</i> , 6(2):100–104, 1996.		
	RN	Schwartz, Arthur E., et al.; Isolated Cerebral Hypothermia by Single Carotid Artery Perfusion of Extracorporeally Cooled Blood in Baboons ; Neurosurgery, Vol. 39, No. 3, pages 577-582 (September 1996).		

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